Enhanced Respiratory Muscular Function in Normal Adults after Lessons in Proprioceptive Muscuioskeletal Education without Exercises*

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A subjective sense of enhanced ease of breathing has been described after instruction in the Alexander technique of proprioceptive musculoskeletal education (awareness and voluntary inhibition of personal habitual patterns of rigid musculoskeletal constriction). We investigated the effects of AT instruction on respiratory function in healthy adult volunteers (group 1, ten subjects), who received 20 private AT lessons at weekly intervals. Spirometric tests, including maximum static mouth pressures, were assessed before and after each course of lessons. Healthy control subjects, matched for age, gender, height, and weight (group 2, ten subjects), without instruction, were tested over a similar interval. Group I showed significant increases in PEF (9 percent, p<.05), MVV (6 percent, p<.05), MIP (12 percent, p<.02), and MEP (9 percent, p<.005) (paired Student's t testing). Group 2 showed no significant changes. Possible mechanisms for the changes in group I include increased length and decreased resting tension of muscles of the torso, which in turn may increase their strength, increase thoracic compliance, and/or enhance coordination. We conclude that AT musculoskeletal education may enhance respiratory muscular function in normal adult subjects.

(Chest 1992; 102:486-90)

AT = Alexander technique; FRC = functional residual capacity; MEP = maximal expiratory mouth pressure; MIF = maximal inspiratory mouth pressure; MVV = maximal voluntary ventilation; PEF = peak expiratory flow; PIF = peak inspiratory flow; RV= residual volume

Individual habitual patterns of musculoskeletal constriction are common after early childhood. 1-4 An educational method which teaches awareness and voluntary inhibition of these patterns is the Alexander 3-5 technique. In the past two decades, teachers of performing artists have been increasingly sending their students to the teachers of AT, in order to help resolve fatiguability-associated

muscular tensions during performance .1-8 Although photographic, electromyographic, and mechanical studies have shown enhanced muscular performance during AT-guided movements, 1,4,9 this approach to musculoskeletal problems currently, is not widely appreciated among physicians.

Because a subjective sense of increased ease of breathing has been described in healthy young adults as associated with instruction in the AT,10 we assessed the effects on respiratory function of a course of AT instruction in a series of healthy adult subjects and compared the findings with those of a control group who did not undergo instruction.

METHODS

We invited 15 certified teachers of the AT to recruit their private students as volunteers for study of possible effects of lessons on respiratory function. Entry criteria for the study included age >18 years, excellent general health, no history of respiratory disease, and ability to cooperate with testing.

Smoking, scoliotic, or obese (weight greater than 15 percent above ideal body weight) volunteers were not accepted. Wind instrumentalists were excluded from study because no change was noted **in** respiratory function after AT instruction in these subjects,,, who were already "respiratory athletes." Swimmers and runners of more than ten miles per week, singers, and dancers were similarly excluded. Normal volunteers fulfilling the same criteria were recruited as control subjects. The study was approved by the institutional committee on human research. informed consent was obtained from each subject.

Each volunteer underwent standard spirometric testing in the sitting position using a 13.5-L spirometer on each of two days approximately seven months (mean 6.8 <u>-</u> 3.3 months) apart. The same time of day was used for each set of tests. Each test was performed by an experienced technologist blinded to the individual subject's membership **in** the experimental or control group. Women were not tested during menses or late in the luteal phase. The tests included FVC, FRC, RV TLC, FEV,, PEF, PIF, forced expiratory flow rates at quarterly percentiles of FVC, and 12-s MVV. The best value was selected for each of these tests from among a minimum of three reproducible efforts. 12

Maximal static mouth pressures were measured by the technique of Black and Hyatt,13 using an aneroid pressure gauge. The MIP was measured as the most negative pressure sustained for at least one second during a maximal inspiratory effort

from residual volume. The MEP was measured as the most positive pressure sustained for at least I s during a maximal expiratory effort from TLC. For each of these tests, the value selected for analysis was the best of a minimum of five trials. The second and third best trials also were required to be no greater than 5 em HO different from the best value. This process required a maximum of ten trials in this series.

Ten volunteer normal subjects (six men, four women, ages 23 to 48, mean 33--7 years) comprised group 1. Mean height of the six men was 173.2 ± 8.9 em. Mean height of the four women was

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Table I -Respiratory Function in Subjects With and Without a Course of lessons in Proproprioceptive Musculoskeletal Education

		10)	Control Subjects (n = 10)									
	Pre	*	Post*		% Change	Ρţ	Pre*		Post*		% Change	P†
FVC, L	4.47	(0.87)	4.56	(0.88)	2.0	0.16	4.22	(0.91)	4.19	0.88)	-0.7	0.4
FEV ₁ , L	3.66	6 (0.68)	3.66	(0.70)	0	0.90	3.59	(0.80)	3.55	6 (0.79)	-1.1	0.9
PIF, L/s	4.68 (1.59)		5.33 (1.84)		13.9	0.13	5.70 (1.41)		6.02	(1.28)	5.7	0.4
PEF, L/s	8.47 (1.92)		9.22 (1.84)		8.8	0.029	9.42 (2.02)		9.46 (2.14)		0.4	0.8
MVV, L/min	150	(28)	159	(29)	5.6	0.034	155	(34)	156	(36)	0.6	0.9
MIP, cm H ₂ O	-102	(23)	-114	(27)	11.8	0.0195	-115	(23)	-119	(24)	3.4	0.3
MEP, em H ₂ O	190	(48)	215	(59)	9.4	0.0045	196	(52)	194	(52)	-1.2	0.2

^{*}Test values expressed as mean (SD). paired Students t-test.

healthy young and middle-aged adults and an increase in measures of respiratory muscular strength (MIP, MEP, PEF) and endurance (MVV).13-16 Other than an uncontrolled pilot series of eight subjects, 17 no previous study, to our knowledge, has demonstrated quantitative improvement in respiratory function after an instructional course which emphasizes proprioceptive education and specifically does not include regular performance of respiratory exercises."

A potential limitation of the present study is the "learning effect" (ie, a second test of respiratory function appears to improve compared to a first, as the subject becomes familiar with performance of the test). "

However, no such effect was evident in group 2.

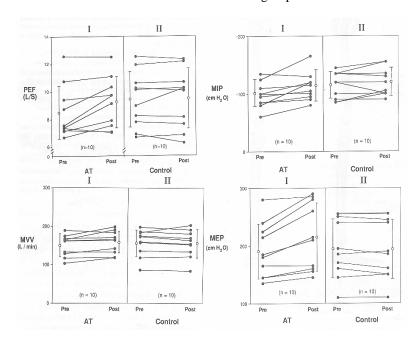


FIGURE 2. Results of respiratory muscle tests, mean <u>-</u> S D and individual values, before and after a course of 20 lessons in proprioceptive musculoskeletal education

(Alexander technique) in ten subjects (group 1). Ten control subjects (group 2) underwent no course of instruction. A (upper left), PEF. Mean follow-up values increased significantly in group 1 (p<0.05, paired Student, s t-test). B (lotver left), MVV Mean follow-up values increased significantly in group 1 (p<0.05, paired Student, s t-test).

C (upper fight), MIP Mean follow-up values increased significantly in group 1 (p<0.02, paired Student's t-test). D (lower tight), MEP Mean follow-up values increased significantly in group 1 (p<0.005, paired Student's t-test).

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whereas four tests in group I improved (Table 1). To our knowledge, a learning effect has not been reported for MVV A slight learning effect over a short-term (few hours to five days) interval has been reported for maximal respiratory pressures, $_{13,14}$ $_{20}$ although this was not confirmed in one recent study which did not state its intertest interval $_{5,21}$ No longer term study on MIP or MEP, to which our data could be compared, has been reported. The only test in the present study which suggests any tendency toward a possible learning effect for both groups I and 2 was PIF, for which each group showed a nonsignificant (group 1, p = 0. 13; group 2, p = 0.42) increase of approximately 10 percent (Table 1). We conclude that a learning effect is unlikely to explain the differences between groups 1 and 2.

Another potential limitation of the present study is the placebo effect. Each subject in group 1 was aware that the study was assessing the hypothesis that AT instruction might improve respiratory function. However, we are unable to explain the specific results as changes which any subject anticipated. Support for the positive value of AT instruction also derives from the remarkable uniformity with which artistic performers, including singers and wind instrumentalists, describe AT instruction as directly aiding their ability to perform 4,6-11,11

A further potential limitation of the present study derives from the point of view of the AT: are 20 lessons sufficient to cause significant changes in habitual patterns of musculoskeletal use? Most AT teachers and students agree that 20 lessons, comparable to lessons in playing golp or a musical instrument 7,11,1 1 represent a satisfactory beginning, but altering habits of a lifetime is an enduring process which of necessity must extend beyond 20 lessons.3-5 Most serious students of the AT undertake at least a one- to two-year course of instruction, comprising on the order of 40 to 100 lessons. A longitudinal study of the respiratory effects of lessons in the AT over a course of instruction greater than 20 lessons would be of interest.

Mechanisms to explain enhanced respiratory muscular function in the present study must necessarily be speculative, but four possible mechanisms can be considered as follow:

First, increased length of muscles of the torso: the AT strongly encourages voluntary inhibition of slumping patterns. 3-5 Habitual or prolonged cervical lordosis is common and can be unlearned by AT instruction. 1,3,4,7 9 Also, AT instruction has been shown to lengthen the rectus abdominis, which is a deflationary muscle. Insofar as AT instruction lengthens this muscle, it follows that increased force will be generated, due to its length-tension relationship.

Second, increased strength and for endurance of muscles of the abdominal wall: the common habit of slumped posture, especially in the sitting position, not

only shortens the major muscles of the anterior abdominal wall, it also minimizes their active use. By encouraging vertical elongation of the anterior abdomen and lumbar spine, the AT promotes active use of the abdominal and lumbar paraspinous muscles in ordinary daily activities. Thus, regular use of these new patterns for these muscles may increase their strength and tone. These considerations may explain the particularly strong association between AT instruction and improvements in expiratory muscle function.

Third, decreased resting tensions of chest wall muscles: rapid respiratory manoeuvres in the upright position, as in the determination of maximal static mouth pressures, have been described as accomplished by "overwhelming dominance of rib cage motion. "23 Teachers of the AT report that over the course of a series of lessons, the student's thoracic muscles usually become palpably less tight, ie, their resting tensions are reduced 4 This effect has been shown electromyographically for the sternocleidomastoid muscle during AT instruction 4 Habitual thoracic muscular tensions may act as a muscular corset to restrict movements of the chest cage, analogous to an external thoracic corset in causing decreased thoracic compliance.24Abdominal posture also affects movement of ribs. Slumping restricts motion of the anterior ribs of the lower thorax. Arching the low back ("military posture")

restricts motion of the posterior ribs of the lower thorax. Thus, lessons in the AT may decrease the resting tensions of thoracic muscles and increase the compliance of the thoracic cage.

Fourth 'enhanced coordination of the respiratory muscles: learning new habitual patterns of increased length and decreased resting tension of various chest and abdominal muscles may decrease musculoskeletal interference in coordination of respiratory movements. 1,4,9,25,26 The AT emphasizes the subject's developing particular appreciation of head-neck elongation and poise 3-7 The extraordinary density of muscle spindles in the neck may favour improved coordination, insofar as AT instruction promotes enhanced cervical length, balance, and flexibility.

In summary, the results show that a course of instruction in proprioceptive musculoskeletal education (AT), without exercises, was associated with increased PEF, MVV, MIP, and MEP in healthy adults. Possible clinical applications and clarification of mechanisms await future study.

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